

# Construction of quantum field theories with factorizing S-matrices

Gandalf Lechner

University of Vienna

École Polytechnique

14.03.2008

- Constructive QFT
  - model/interaction defined by classical Lagrangian
  - quantization, Euclidian techniques
  - rigorous construction of models with polynomial self-interaction in  $1 + 1$  and  $2 + 1$  dim.
- The “form factor program” (FFP)
  - model/interaction defined by a *factorizing S-matrix* (inverse scattering problem)
  - no quantization necessary
  - restricted to  $1 + 1$  dimensions
  - no complete construction achieved yet
- [Here](#): New approach to the construction of models with factorizing S-matrices
  - complementary to FFP
  - with the help of operator-algebraic techniques
  - with the potential of higher-dimensional generalizations

# Factorizing S-matrices in two dimensions

- Rapidity parametrization of mass shell,  $p(\theta) = m \begin{pmatrix} \cosh \theta \\ \sinh \theta \end{pmatrix}$

**Factorizing S-matrices:** [Iagolnitzer 78] fixed by *scattering function*  $S_2$ ,

- for single species of massive particles:

$$(S\Psi^+)_n(\theta_1, \dots, \theta_n) = \prod_{1 \leq l < k \leq n} S_2(|\theta_l - \theta_k|) \cdot \Psi_n^+(\theta_1, \dots, \theta_n).$$

- factorizing S-matrices known from integrable models  
(Sin(h)-Gordon, Ising, Thirring [Fröhlich/Seiler 76], ... )

## Definition

A **scattering function** is a bounded and continuous function  $S_2 : \{\zeta : 0 \leq \text{Im } \zeta \leq \pi\} \rightarrow \mathbb{C}$  which is analytic in the interior of this strip and satisfies

$$S_2(\theta)^{-1} = \overline{S_2(\theta)} = S_2(-\theta) = S_2(\theta + i\pi), \quad \theta \in \mathbb{R}.$$

Examples:  $S_2(\theta) = 1$ ,  $S_2(\theta) = \frac{\sinh \theta - ig}{\sinh \theta + ig}$ ,  $g > 0$

## Construction strategy in FFP:

- Consider *form factors* ( $A(x)$ : local field,  $\Omega$ : vacuum)

$$F_n^{A(x)}(\theta_1, \dots, \theta_n) = \langle \Omega | A(x) | \theta_1, \dots, \theta_n \rangle_{\text{in}}$$

- 1.) Compute  $F_n^{A(x)}$  from consistency eqns (*form factor axioms*)
- 2.) Compute  $n$ -point functions

$$\langle A(x)A(y) \rangle \sim \sum_{n=0}^{\infty} \int d^n \theta e^{-i \sum_{k=1}^n p(\theta_k) \cdot (x-y)} |F_n^{A(0)}(\theta_1, \dots, \theta_n)|^2$$

**Problem:** Convergence not under control

- no complete construction possible in FFP
- Complicated structure of form factors due to locality of  $A(x)$
- $\rightarrow$  Construct “weaker” localized fields first, and obtain strictly local observables in a second step

$$\mathcal{H} := \bigoplus_{n=0}^{\infty} \mathcal{H}_n \quad \text{“}S_2\text{-symmetric Fock space”} \quad [\text{Liguori/Mintchev 95, GL 03}]$$

- $\mathcal{H}_0 := \mathbb{C} \cdot \Omega$  ( $\Omega$ : vacuum vector)
- $\mathcal{H}_1 := L^2(\mathbb{R}, d\theta)$  single particle space
- $\mathcal{H}_n \subset L^2(\mathbb{R}^n, d^n\theta)$ ,  $n \geq 2$ , defined by,  $\Psi_n \in \mathcal{H}_n$ ,

$$\Psi_n(\theta_1, \dots, \theta_{k+1}, \theta_k, \dots, \theta_n) = S_2(\theta_k - \theta_{k+1}) \cdot \Psi_n(\theta_1, \dots, \theta_k, \theta_{k+1}, \dots, \theta_n)$$

Representation of translations and boosts on  $\mathcal{H}$ :

$$(U(x, \lambda)\Psi)_n(\theta_1, \dots, \theta_n) := \prod_{k=1}^n e^{ip(\theta_k) \cdot x} \cdot \Psi_n(\theta_1 - \lambda, \dots, \theta_n - \lambda)$$

Total spacetime reflection  $j(x) := -x$ ,

$$(U(0, j)\Psi)_n(\theta_1, \dots, \theta_n) := \overline{\Psi_n(\theta_n, \dots, \theta_1)}$$

$U$  : (anti)unitary, positive energy,  $\Omega$  is  $U$ -invariant.

- Creation / annihilation operators on  $\mathcal{H}$ :

$$(z(\theta)\Psi)_n(\theta_1, \dots, \theta_n) := \sqrt{n+1} \Psi_{n+1}(\theta, \theta_1, \dots, \theta_n), \quad z(\theta)\Omega = 0, \\ z^\dagger(\theta) := z(\theta)^*$$

- Exchange relations:

$$z(\theta_1)z(\theta_2) = S_2(\theta_1 - \theta_2) z(\theta_2)z(\theta_1), \\ z^\dagger(\theta_1)z^\dagger(\theta_2) = S_2(\theta_1 - \theta_2) z^\dagger(\theta_2)z^\dagger(\theta_1), \\ z(\theta_1)z^\dagger(\theta_2) = S_2(\theta_2 - \theta_1) z^\dagger(\theta_2)z(\theta_1) + \delta(\theta_1 - \theta_2) \cdot 1$$

Zamolodchikov-Faddeev algebra [Zamolodchikov 79, Faddeev 80]

- Associated quantum field [Schroer 97, Schroer/Wiesbrock 00]

$$\phi(x) := \int d\theta \left( e^{ip(\theta)\cdot x} z^\dagger(\theta) + e^{-ip(\theta)\cdot x} z(\theta) \right)$$

$S_2(\theta) = 1 \iff \phi$  is the free field

# Properties of the field $\phi$

For arbitrary  $S_2 \neq 1$ :

- $\phi$  is unbounded operator-valued distribution
- $\phi(f) = \int d^2x f(x)\phi(x)$  is essentially selfadjoint for real  $f$
- $\phi$  transforms covariantly under translations and boosts  $U(x, \lambda)$
- $\phi$  creates single particle states from  $\Omega$
- $\phi$  solves Klein-Gordon equation  $(\square + m^2)\phi(x) = 0$
- $\phi$  does **not** transform covariantly under the TCP operator  $U(0, j)$

$$\phi'(x) := U(0, j)\phi(-x)U(0, j) \neq \phi(x)$$

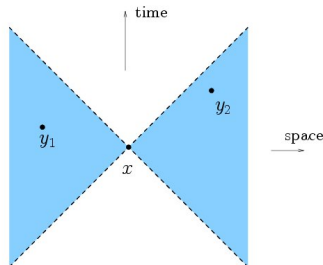
- $\phi$  is **not** (point-like) local,  $[\phi(x), \phi(y)] \neq 0$  for  $(x - y)^2 < 0$

# Localization properties of $\phi, \phi'$

- Consider  $\phi(x)$  and second field  $\phi'(x) := U(0, j)\phi(-x)U(0, j)$

$$[\phi(x), \phi'(y_1)] \neq 0$$

$$[\phi(x), \phi'(y_2)] = 0$$



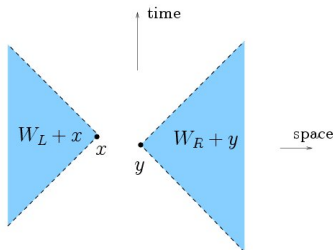


# Localization properties of $\phi, \phi'$

- Consider  $\phi(x)$  and second field  $\phi'(x) := U(0, j)\phi(-x)U(0, j)$

- $[\phi(x), \phi'(y)] = 0$  if the “wedge” regions  $W_L + x$  and  $W_R + y$  are spacelike

(use analyticity and crossing of  $S_2$  in proof)

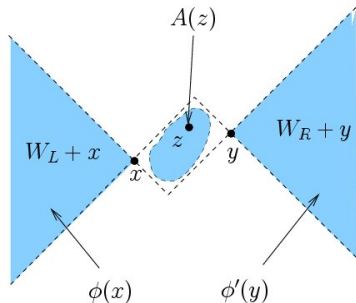


- $\phi(x)$  localized in  $W_L + x$  (not at spacetime-point  $x$ !)
- $\phi, \phi'$  are not the basic physical fields, but auxiliary objects (“polarization-free generators”, [Schroer 98, Borchers/Buchholz/Schroer 00])

# Local fields/observables

- Wedge-local fields OK, but what about *local* quantum fields/observables?
- Assume  $A(x)$  is a local field

- Locality requires  
 $[\phi(x), A(z)] = 0 = [\phi'(y), A(z)]$
- Do there exist such local observables? (with the right properties)
- $\rightarrow$  existence problem for QFT with scattering function  $S_2$



- This question can be answered by operator-algebraic techniques

# Operator-algebraic formulation

- "Wedge algebras"

$$\mathcal{A}(W_L + x) := \{e^{i\phi(f)} : f \in C_0^\infty(W_L + x)\}''$$

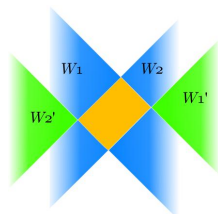
$$\mathcal{A}(W_R + y) := \{e^{i\phi'(f)} : f \in C_0^\infty(W_R + y)\}''$$

$\Omega$  is cyclic for each  $\mathcal{A}(W)$  (Reeh-Schlieder property)

- Algebras of observables localized in bounded regions, e.g.

$$\mathcal{O} = W_1 \cap W_2:$$

$$\mathcal{A}(W_1 \cap W_2) := \mathcal{A}(W_1) \cap \mathcal{A}(W_2)$$



maximal algebra of observables  
localized in  $W_1 \cap W_2$

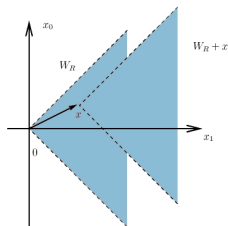
- The algebras  $\mathcal{A}(\mathcal{O})$  define a local, covariant QFT [Borchers 92]
- **Existence problem:**  $\mathcal{A}(\mathcal{O}) \neq \mathbb{C} \cdot 1$  ? Reeh-Schlieder?

# Wedge inclusions & Existence of local observables

- The algebra  $\mathcal{A}(W_R) \cap \mathcal{A}(W_R + x)'$  is related to the inclusion

$$\mathcal{A}(W_R + x) \subset \mathcal{A}(W_R), \quad x \in W_R$$

- $\rightarrow$  study inclusions of von Neumann algebras



- Many techniques available: standard inclusions, split inclusions, classification of factors, Tomita-Takesaki modular theory ...
- Well-suited criterion for  $d = 2$ : **modular nuclearity condition** [Buchholz/d'Antoni/Longo 90] Assume that the operator

$$\Xi(x) : \mathcal{A}(W_R) \rightarrow \mathcal{H}, \quad \Xi(x) : A \longmapsto \Delta^{1/4} U(x, 1) A \Omega$$

is **nuclear** ( $\Delta$ : Modular operator of  $(\mathcal{A}(W_R), \Omega)$ )

## Theorem

If  $\Xi(x)$  is **nuclear**,  $x \in W_R$ , local observables exist.

More details:

- $\mathcal{A}(W_1) \subset \mathcal{A}(W_2)$  is standard & split if  $\overline{W_1} \subset W_2$  [Buchholz/GL 04]
  - The Reeh-Schlieder property holds locally, i.e.  $\Omega$  is cyclic for  $\mathcal{A}(W_1 \cap W_2)$  if  $(W_1 \cap W_2) \neq \emptyset$  [GL 06]
  - Additivity properties [Müger 97, GL 06]
- 
- If modular nuclearity condition holds, a well-defined QFT associated to  $S_2$  exists
  - $\rightarrow$  **Main task**: Prove modular nuclearity condition for suitable  $S_2$
  - Helpful fact: Modular group of  $(\mathcal{A}(W_R), \Omega)$  coincides with the boosts,  $\Delta^{it} = U(0, 2\pi t)$  [Buchholz/GL 04]

- Concrete form of  $\Xi$ : Let  $A \in \mathcal{A}(W_R)$ ,  $s > 0$ ,  $\Xi(s) := \Xi\left(\begin{smallmatrix} 0 \\ s \end{smallmatrix}\right)$ . Then

$$(\Xi(s)A)_n(\theta_1, \dots, \theta_n) = \prod_{k=1}^n e^{-ms \cosh \theta_k} (A\Omega)_n\left(\theta_1 - \frac{i\pi}{2}, \dots, \theta_n - \frac{i\pi}{2}\right)$$

- For proof of nuclearity, show that the space of these functions is “almost finite dimensional”
- $\rightarrow$  Study analytic properties of wedge-local wavefunctions  $(A\Omega)_n$
- Wedge-locality of  $A$  gives holomorphic properties, boundedness of  $A$  gives estimates on the analytic continuation
- Need “nice” scattering functions

### Definition (regular scattering functions)

A scattering function  $S_2 \in \mathcal{S}$  is called regular if there exists  $\kappa > 0$  such that  $S_2$  continues to a bounded analytic function on  $\mathbb{R} + i(-\kappa, \pi + \kappa)$ .

$\mathcal{S}_0 := \{\text{regular scattering functions}\}$ .

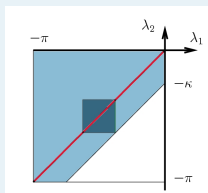
Analyticity domains:  $\mathcal{T}_n(\kappa) := \mathbb{R}^n - i\left(\frac{\pi}{2}, \dots, \frac{\pi}{2}\right) + i(-\kappa, \kappa)^{\times n}$ .

## Proposition [GL 06]

Let  $S_2 \in \mathcal{S}_0$  and  $A \in \mathcal{A}(W_R)$ .

- 1  $(A\Omega)_n$  is analytic in  $\mathcal{T}_n(\kappa)$ .
- 2 For  $0 < \kappa' < \kappa$

$$|(A\Omega)_n(\zeta)| \leq C(S_2, \kappa')^n \cdot \|A\|, \quad \zeta \in \mathcal{T}_n(\kappa').$$



- This implies that the maps  $\Xi_n(s) := P_n \Xi(s)$  are nuclear for  $s > 0$ . (Use Hardy spaces on tube domains.)
- For nuclearity of  $\Xi(s) = \sum_{n=0}^{\infty} \Xi_n(s)$ , need good bounds on nuclear norms  $\|\Xi_n(s)\|_1$  ( $\sum_n \Xi_n(s)$  must converge in  $\|\cdot\|_1$ -topology)
- $\|\Xi_n(s)\|_1$  are smaller if Pauli principle becomes effective

$$\mathcal{S}_0^{\pm} := \{S_2 \in \mathcal{S}_0 : S_2(0) = \pm 1\}, \quad \mathcal{S}_0 = \mathcal{S}_0^+ \cup \mathcal{S}_0^-.$$

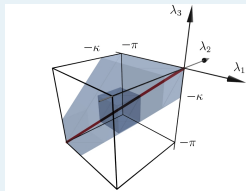
Analyticity domains:  $\mathcal{T}_n(\kappa) := \mathbb{R}^n - i\left(\frac{\pi}{2}, \dots, \frac{\pi}{2}\right) + i(-\kappa, \kappa)^{\times n}$ .

## Proposition [GL 06]

Let  $S_2 \in \mathcal{S}_0$  and  $A \in \mathcal{A}(W_R)$ .

- 1  $(A\Omega)_n$  is analytic in  $\mathcal{T}_n(\kappa)$ .
- 2 For  $0 < \kappa' < \kappa$

$$|(A\Omega)_n(\zeta)| \leq C(S_2, \kappa')^n \cdot \|A\|, \quad \zeta \in \mathcal{T}_n(\kappa').$$



- This implies that the maps  $\Xi_n(s) := P_n \Xi(s)$  are nuclear for  $s > 0$ . (Use Hardy spaces on tube domains.)
- For nuclearity of  $\Xi(s) = \sum_{n=0}^{\infty} \Xi_n(s)$ , need good bounds on nuclear norms  $\|\Xi_n(s)\|_1$  ( $\sum_n \Xi_n(s)$  must converge in  $\|\cdot\|_1$ -topology)
- $\|\Xi_n(s)\|_1$  are smaller if Pauli principle becomes effective

$$\mathcal{S}_0^{\pm} := \{S_2 \in \mathcal{S}_0 : S_2(0) = \pm 1\}, \quad \mathcal{S}_0 = \mathcal{S}_0^+ \cup \mathcal{S}_0^-.$$



# Proof of the modular nuclearity condition

## Theorem [GL 06]

- Let  $S_2 \in \mathcal{S}_0$ . Then there exists  $s_{\min} > 0$  such that  $\Xi(s)$  is nuclear for all  $s > s_{\min}$ .
- Let  $S_2 \in \mathcal{S}_0^-$ . Then  $\Xi(s)$  is nuclear for all  $s > 0$ .
  
- For  $S_2 \in \mathcal{S}_0^-$ , there exist corresponding local QFTs  
(local observables exist, and the Reeh-Schlieder property holds)
- For  $S_2 \in \mathcal{S}_0^+$ , there might exist a “minimal length”
- All scattering functions known from integrable models are in the class  $\mathcal{S}_0^-$
- Construction of models complete in the sense of “algebraic” QFT

# Collision states and reconstruction of the S-matrix

- Starting from a scattering function  $S_2$ , a QFT was constructed.
- **But:** Is the S-matrix of this model given by  $S_2$ ?  
(Inverse scattering problem!)
- Need to compute collision states and the S-matrix of the constructed models
- Scattering theory is possible without explicit knowledge of quantum fields (Haag-Ruelle scattering theory)
- Restriction to  $S_2 \in \mathcal{S}_0$  sufficient (localization with arbitrary precision not necessary for scattering theory)

## Theorem [GL 06]

Let  $S_2 \in \mathcal{S}_0$ .

- The constructed model solves the inverse scattering problem for the corresponding S-matrix, i.e. its scattering operator is

$$(S\Psi^+)_n(\theta_1, \dots, \theta_n) = \prod_{1 \leq l < k \leq n} S_2(|\theta_l - \theta_k|) \cdot \Psi_n^+(\theta_1, \dots, \theta_n).$$

- Idealized  $n$ -particle collision states are given by

$$\begin{aligned} z^\dagger(\theta_1) \cdots z^\dagger(\theta_n) \Omega &= |\theta_1, \dots, \theta_n\rangle_{\text{out}}, & \theta_1 < \dots < \theta_n, \\ z^\dagger(\theta_1) \cdots z^\dagger(\theta_n) \Omega &= |\theta_1, \dots, \theta_n\rangle_{\text{in}}, & \theta_1 > \dots > \theta_n, \end{aligned}$$

- Asymptotic completeness holds.

→ Rigorous justification of the motivation for Zamolodchikov's algebra.

- New approach to constructive QFT:
  - Construct wedge-local quantum fields first (Easier because of weak localization)
  - Determine algebras of local observables by commutation relations with wedge-local fields
- Explicit realization of this program for special models in  $d = 2$ 
  - Starting from factorizing S-matrix, construct wedge-local quantum fields  $\phi, \phi'$  explicitly (Zamolodchikov's algebra)
  - Prove existence of local observables via split property and modular nuclearity condition
  - Information about the **local** structure can be extracted from **estimates** on **wedge-local** quantities!
- Construction works for large class of factorizing S-matrices
  - Inverse scattering problem solved
  - Proof of asymptotic completeness
  - Many new models found